

12/16/97
JC550 U.S. PTO

201-39500

PTO/SB/05 (12/97)

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	FINKL 183 US	Total Pages
First Named Inventor or Application Identifier		
Algirdas A. Underys		
Express Mail Label No.		E1529676309US

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>		ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Specification [Total Pages 8] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>3. <input type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets]</p> <p>4. Oath or Declaration [Total Pages]</p> <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) <i>[Note Box 5 below]</i> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). <p>5. <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>	<p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
ACCOMPANYING APPLICATION PARTS	
<p>8. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney</p> <p>10. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>12. <input type="checkbox"/> Preliminary Amendment</p> <p>13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>14. <input checked="" type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application, Statement(s) <input type="checkbox"/> Status still proper and desired</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (If foreign priority is claimed)</p> <p>16. <input type="checkbox"/> Other:</p>	

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label : or Correspondence address below
(Insert Customer No. or Attach bar code label here):

NAME	James G. Staples		
	A: Finkl & Sons Co.		
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	Chicago		
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COUNTRY	USA	TELEPHONE	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

TRANSMITTAL OF UTILITY PATENT APPLICATION FOR FILING

to Honorable Commissioner of Patents and Trademarks
Washington, DC 20231

Dear Sir:

Transmitted herewith for filing is the utility patent application of inventor(s):

Algirdas A. Underys

entitled: "HEAT TREATMENT METHOD & APPARATUS"

1. Enclosed are:

- A duplicate copy of this transmittal letter.
- One stamped, self-addressed postcard for the PTO Mail Room date stamp.
- One utility patent application, paged 1 - 8, and
 - A declaration or oath for the utility patent application including a power of attorney, and
 - X- sheets of informal drawings. (There are no formal drawings in this application.)
 - A certified copy of a _____ application, No. _____.
 - An Associate Power of Attorney.
 - An Assignment of the invention to A. Finkl & Sons Co..
 - A document listing certain art.
 - A Verified Statement to Establish Small Entity Status under 37 CFR 1.9 and 37 CFR 1.27.
 - Other: n/a

2. The filing fee has been calculated as shown below:

OTHER THAN A LARGE ENTITY

	(Col. 1)	(Col. 2)	SMALL ENTITY		LARGE ENTITY	
FOR:	No. Filed	No. Extra	Rate	Fee	Rate	Fee
BASIC FEE	n/a	n/a	n/a	\$395.00	OR	n/a
TOTAL CLAIMS	14 -20 =	0	0	0	OR	\$00.00
INDEPENDENT CLAIMS	2 -03 =	0	0	0	OR	\$00.00
MULTIPLE DEPENDENT CLAIMS PRESENTED					OR	\$00.00
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2			TOTAL	\$395.00	OR	TOTAL
						\$00.00

- Please charge my Deposit Account No. 02-0400 in the amount of _____ to cover filing fees. When identifying this amount, please use our Attorney Docket No. _____.
- Please charge my Deposit Account No. 02-0400 in the amount of _____ to cover assignment recordal fees. When identifying this amount, please use our Attorney Docket No. _____.
- A check in the amount of 395.00 is enclosed to cover filing fees.
- A check in the amount of 40.00 is enclosed to cover recordal fees.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-0400.
 - Any additional filing fees required under 37 CFR 1.16.
 - Any patent application processing fees under 37 CFR 1.17.
 - The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 02-0400.
 - Any patent application processing fees under 37 CFR 1.17.
 - The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).
 - Any filing fees under 37 CFR 1.16 for presentation of extra claims.

3. This utility patent application is related to: _____

Attorney's Signature: James G. Staples Dec. 15, 1997
 James G. Staples, Reg. No. 19,013 date

A. FINKL & SONS CO.
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Chicago, IL 60614

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